

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

KEITH WAYNE CANDLER,

Plaintiff,

v.

J.S. WOODFORD, et al.,

Defendants.

No. C 04-5453 MMC (PR)

**ORDER GRANTING IN PART
AND DENYING IN PART
DEFENDANTS' MOTION TO
DISMISS; DENYING WITHOUT
PREJUDICE PLAINTIFF'S
MOTION TO COMPEL**

(Docket Nos. 26 & 44)

On December 27, 2004, plaintiff, a California prisoner proceeding pro se and currently incarcerated at California State Prison-Sacramento, filed the above-titled civil rights action under 42 U.S.C. § 1983. On May 13, 2005, after reviewing the complaint, the Court found plaintiff had stated cognizable Eighth Amendment claims against several officials at Salinas Valley State Prison ("SVSP"), where plaintiff was incarcerated when the events giving rise to his claims arose. In the same order, the Court directed defendants to file a dispositive motion or, in the alternative, a notice indicating defendants are of the opinion such a motion is not warranted.

On January 27, 2006, defendants filed a motion to dismiss the complaint pursuant to Rule 12(b) of the Federal Rules of Civil Procedure, on the ground plaintiff has failed to exhaust his administrative remedies. See Wyatt v. Terhune, 315 F.3d 1108, 1119 (9th Cir.), cert. denied, 540 U.S. 810 (2003) (providing nonexhaustion claim should be raised in unenumerated Rule 12(b) motion rather than in motion for summary judgment). As an alternative ground for dismissal, defendants argue that one of plaintiff's claims should be dismissed pursuant to Rule 12(b)(6) for failure to state a claim upon which relief can be

1 granted.

2 On February 2, 2006, plaintiff filed an opposition to the motion to dismiss.
 3 Thereafter, on August 23, 2006, the Court granted plaintiff leave to file a supplemental
 4 opposition, in accordance with Wyatt. See id. at 1120 n.14 (holding court must assure pro se
 5 prisoner plaintiff has “fair notice of his opportunity to develop a record” in opposition to
 6 unenumerated Rule 12(b) motion). In correspondence filed October 3, 2006, plaintiff
 7 advised the Court he would not file supplemental briefing or further evidence in this matter.
 8 On October 11, 2006, defendants filed a reply to plaintiff’s opposition. Also before the Court
 9 is plaintiff’s motion to compel discovery, filed December 5, 2005.

10 **FACTUAL BACKGROUND**

11 The following summary of plaintiff’s factual allegations is taken from the Court’s
 12 order of service:

13 Plaintiff makes the following allegations in his complaint. On October
 14 10, 2003, defendants J. Pacheco (“Pacheco”), R. Machuca (“Machuca”) and J.
 15 Abuyen (“Abuyen”), all correctional officers, escorted him back to his cell
 16 from the showers. After plaintiff entered his cell, defendant M. Banuelos
 17 (“Banuelos”), a correctional officer in charge of opening and closing the cell
 18 doors, did not close plaintiff’s cell door. Abuyen followed plaintiff into the
 19 cell and punched him in the head and face with his fists while Pacheco and
 20 Machuca watched from just outside the cell. When plaintiff began “swinging
 21 wild” to protect himself, Pacheco and Machuca rushed in and began hitting
 22 plaintiff in the face and head until he fell to the ground. Abuyen sat on his
 23 lower back, while Pacheco and Machuca kept punching him in the face.
 24 Plaintiff was then handcuffed, and Banuelos set off the alarm. Abuyen
 25 resumed punching plaintiff in the head and face, splitting open plaintiff’s lip,
 26 after which he scraped plaintiff’s forehead along the cell floor. Plaintiff was
 27 bleeding; a spit net was placed over plaintiff’s head, preventing him from
 28 breathing properly; and he was placed in leg irons.

22 Plaintiff was next taken to an outside holding cell, where he was
 23 handcuffed to a steel ring. Defendants J. Vasquez (“Sgt. Vasquez”) and two
 24 other guards who had responded to the alarm, defendants H. Gonzalez
 25 (“Gonzalez”) and D. Rocha (“Rocha”), were present while plaintiff was in the
 26 outside cell. Plaintiff complained to these three guards that the handcuffs were
 27 too tight, but they refused to loosen them. Plaintiff thereafter lost
 28 consciousness for two hours. After he awoke, defendant M. Starr (“Starr”), a
 nurse, came to the holding cell and wrote down the injuries to plaintiff’s face
 and head. When plaintiff told Starr about the injuries to his wrists and ankles
 from the tight handcuffs and the leg irons, Starr responded that they weren’t
 serious enough to write down. Sgt. Vasquez, Gonzalez, Rocha and Starr did
 not provide plaintiff access to medical care during the approximately five hours
 he was in the outside holding cell. After that time, plaintiff was taken to the
 office of Lieutenant Ortiz (“Ortiz”), where plaintiff related his version of the

1 incident on videotape, and also wrote it down. Ortiz had plaintiff escorted to
 2 the medical clinic, where plaintiff received treatment for his injuries, including
 stitches in his lip.

3 Plaintiff was disciplined for committing battery on a peace officer in
 4 connection with the above-described events.

(Order of Service, filed May 13, 2005, at 1:20-2:22.)

5 **DISCUSSION**

6 In its order of service, the Court found plaintiff's complaint stated two cognizable
 7 claims. First, the Court found plaintiff's complaint stated a claim against defendants
 8 Abuyen, Banuelos, Machuca and Pacheco, for allegedly using excessive force during the
 9 altercation with plaintiff in plaintiff's cell (hereinafter "cell claim"). Second, the Court found
 10 plaintiff's complaint stated a claim against defendants Gonzalez, Rocha and Vasquez, for
 11 allegedly using excessive force when they refused to loosen plaintiff's handcuffs over a five-
 12 hour period following the cell incident (hereinafter "handcuffs claim"). Defendants argue
 13 that both claims must be dismissed because plaintiff failed to exhaust his administrative
 14 remedies. Defendants further argue that plaintiff's cell claim must be dismissed because it
 15 fails to state a claim upon which relief may be granted. For the reasons discussed below, the
 16 motion to dismiss will be granted in part and denied in part.

17 **A. Motion to Dismiss for Failure to Exhaust Administrative Remedies**

18 **1. Standard of Review**

19 Nonexhaustion under § 1997e(a) is an affirmative defense; defendants have the
 20 burden of raising and proving the absence of exhaustion. Wyatt, 315 F.3d at 1119. A
 21 nonexhaustion defense should be raised in an unenumerated Rule 12(b) motion. Id. In
 22 deciding such a motion, the district court may look beyond the pleadings and decide disputed
 23 issues of fact. Id. at 1119-20.¹ If the court concludes the prisoner has not exhausted
 24 nonjudicial remedies, the proper remedy is dismissal of the complaint without prejudice. Id.
 25

26
 27 ¹If the court looks beyond the pleadings in deciding an unenumerated motion to
 28 dismiss for failure to exhaust, the court must give the prisoner fair notice of his opportunity
 to develop a record. Id. at 1120 n.14. As noted above, plaintiff was given such notice by the
 Court on August 23, 2006.

1 at 1120.

2 Dismissal of the entire complaint is not required when a prisoner has failed to exhaust
 3 some, but not all, of the claims included in the complaint. Jones v. Bock, 127 S. Ct. 910,
 4 925-26 (2007); Lira v. Herrera, 427 F.3d 1164, 1170 (9th Cir. 2005). The proper treatment
 5 of a mixed complaint, that is, a complaint with both exhausted and unexhausted claims, will
 6 depend on the relatedness of the claims contained within. Id. at 1175. When a prisoner has
 7 filed a mixed complaint and wishes to proceed with only the exhausted claims, the district
 8 court should dismiss only the unexhausted claims, provided the unexhausted claims are not
 9 intertwined with the properly exhausted claims. Id. On the other hand, when a plaintiff's
 10 mixed complaint includes exhausted and unexhausted claims that are closely related and
 11 difficult to untangle, the proper procedure is dismissal of the defective complaint with leave
 12 to amend to allege only fully exhausted claims. Id. at 1176.

13 2. The Exhaustion Requirement

14 The Prison Litigation Reform Act of 1995, Pub. L. No. 104-134, 110 Stat. 1321
 15 (1996) ("PLRA") provides: "No action shall be brought with respect to prison conditions
 16 under [42 U.S.C. § 1983], or any other Federal law, by a prisoner confined in any jail, prison,
 17 or other correctional facility until such administrative remedies as are available are
 18 exhausted." 42 U.S.C. § 1997e(a). Exhaustion is mandatory and not left to the discretion of
 19 the district court. Woodford v. Ngo, 126 S. Ct. 2378, 2382 (2006). Exhaustion is a
 20 prerequisite to all prisoner lawsuits concerning prison life, whether such actions involve
 21 general conditions or particular episodes, whether they allege excessive force or some other
 22 wrong, and even if they seek relief not available in grievance proceedings, such as money
 23 damages. Porter v. Nussle, 534 U.S. 516, 524 (2002). The exhaustion requirement requires
 24 "proper exhaustion" of all available administrative remedies. Woodford, 126 S. Ct. at 2387.

25 The State of California provides its prisoners and parolees the right to appeal
 26 administratively "any departmental decision, action, condition or policy perceived by those
 27 individuals as adversely affecting their welfare." Cal. Code Regs. tit. 15, § 3084.1(a). In
 28 order to exhaust available administrative remedies within this system, a prisoner must

1 proceed through several levels of appeal: (1) informal review, (2) first formal written appeal
 2 on a CDC 602 inmate appeal form, (3) second formal level appeal to the institution head or
 3 designee, and (4) third formal level appeal to the Director of the California Department of
 4 Corrections and Rehabilitation (“Director”). See Barry v Ratelle, 985 F. Supp 1235, 1237
 5 (S.D. Cal. 1997) (citing Cal. Code Regs. tit. 15, § 3084.5). A final decision from the
 6 Director’s level of review satisfies the exhaustion requirement under § 1997e(a). See id. at
 7 1237-38.

8 3. Analysis

9 Defendants argue that plaintiff did not exhaust his administrative remedies as to either
 10 the cell claim or the handcuffs claim, because he did not receive a decision from the
 11 Director’s level of review as to either claim before he filed the instant action.

12 In support of their argument, defendants submit a declaration by N. Grannis
 13 (“Grannis”), Chief of the Inmate Appeals Branch (“IAB”) at the California Department of
 14 Corrections and Rehabilitation. The IAB keeps an electronic record of each inmate appeal
 15 that has proceeded through the Director’s level of review since 1997. (Decl. N. Grannis
 16 Supp. Defs.’ Mot. Dismiss (“Grannis Decl.”) ¶ 3.) Grannis searched the database containing
 17 records of all administrative appeals submitted to the Director’s level of review between
 18 October 10, 2003, the date of the incidents that give rise to the claims in plaintiff’s
 19 complaint, and December 27, 2004, the date plaintiff filed the instant action. (See id. ¶ 4).
 20 The search revealed that during that time period plaintiff submitted and received a decision
 21 for one appeal at the Director’s level of review. (See id. ¶ 5 & Exs. A, B). That appeal,
 22 Appeal No. 04-00032, did not concern the claims raised in plaintiff’s complaint; it concerned
 23 an unrelated incident that occurred on December 16, 2003, when Officer Marin, who is not a
 24 defendant in the instant action, allegedly tightened plaintiff’s handcuffs improperly. (See id.
 25 ¶ 6 & Ex. B).

26 a. The Handcuffs Claim

27 Plaintiff does not contest defendants’ assertion that he did not exhaust his
 28 administrative remedies with respect to the handcuffs claim. Accordingly, because

1 defendants have presented evidence showing plaintiff did not exhaust his administrative
 2 remedies as to said claim, the Court will grant the motion to dismiss the claim as
 3 unexhausted, and the claim will be dismissed without prejudice to plaintiff's refiling the
 4 claim after all available administrative remedies have been exhausted.²

5 b. The Cell Claim

6 Plaintiff argues he exhausted his administrative remedies concerning the cell claim. In
 7 their motion to dismiss, defendants acknowledge that plaintiff filed an administrative appeal
 8 concerning this claim. They assert, however, that plaintiff's central file contains only a
 9 partial copy of the appeal, and that the partial copy shows only that the appeal was bypassed
 10 at the informal level of review. (Mot. Dismiss at 5:3 & Decl. A. Cattermole Supp. Defs.'
 11 Mot. Dismiss ("Cattermole Decl.") Ex. C.) There is no record that the appeal ever completed
 12 the Director's level of review. (Grannis Decl. Ex. A.) Consequently, defendants argue, the
 13 claim is unexhausted.

14 In opposition to defendants' motion to dismiss, plaintiff asserts the claim is exhausted
 15 because he received a second-level decision partially granting the appeal, and that no further
 16 administrative remedy remained available to him. In support of his opposition plaintiff has
 17 submitted a declaration along with attached documents that show the appeal was sent to the
 18 second level of review when the informal level of review was bypassed. (Decl. K. Candler
 19 ("Candler Decl.") Supp. Opp. to Mot. Dismiss Appeal No. 03-03788.)³ At the second level
 20 of review, the appeal was classified as a "staff complaint" and an investigation was ordered
 21 into the matter. (Candler Decl. Second Level Reviewer's Response.) The second-level
 22 decision informed plaintiff that his appeal had been partially granted, insofar as an
 23 investigation had been ordered and plaintiff would be advised of the findings of the

24
 25 ²The Court finds the handcuffs claim is not intertwined with the cell claim, which, as
 26 discussed below, the Court finds is exhausted. Accordingly, the Court will dismiss the
 handcuffs claim without requiring plaintiff to file an amended complaint alleging only the
 cell claim. See Lira, 427 F.3d at 1175.

27 ³Plaintiff has attached supporting documents to his declaration. The documents are
 28 not numbered as exhibits. Accordingly, the Court identifies the documents with a brief
 description of their contents.

1 investigation upon completion, but any disciplinary action taken against prison staff would
2 be confidential. (*Id.*) While the investigation was pending, plaintiff submitted an appeal to
3 the Director's level of review, complaining about the actions of Abuyen, Banuelos, Machuca
4 and Pacheco. (Candler Decl. Appeal No. 03-03788.) In response, Grannis, Chief of the IAB,
5 sent the appeal back to the SVSP Appeals Coordinator with a letter asking for additional
6 information about the appeal. (Candler Decl. Letter to SVSP Appeals Coordinator dated
7 March 30, 2004.) The SVSP Appeals Coordinator returned the appeal to plaintiff, informing
8 plaintiff that his appeal did not need Director's level review because it had been partially
9 granted at the second level of review. (Candler Decl. at 1-2.) Plaintiff sent correspondence
10 to Grannis at the IAB, asking how to proceed. (Candler Decl. Letter to Grannis dated June
11 29, 2004.) On two separate occasions, Grannis wrote letters informing plaintiff that the IAB
12 was still waiting for materials from the SVSP Appeals Coordinator, and that plaintiff would
13 receive "further resolution" of his appeal from the IAB once the materials were received.
14 (Candler Decl. Letters to Candler August 6, 2004; December 9, 2004.) By the time plaintiff
15 filed the instant action on December 27, 2004, he had received no Director's-level decision
16 on his appeal.

17 In their reply to plaintiff's opposition, defendants do not contest the appeal history set
18 forth by plaintiff. Rather, they maintain that even though plaintiff received a partial grant of
19 his appeal at the second level of review, he still was required to exhaust his administrative
20 remedies through the Director's level of review, and the lack of a Director's-level decision
21 renders his claim unexhausted.

22 Defendants' argument is foreclosed by the Ninth Circuit's opinion in Brown v. Valoff,
23 422 F.3d 926 (9th Cir. 2005). In Brown, the Ninth Circuit addressed a case where, as here, a
24 California prisoner had filed an appeal using the California Department of Corrections'
25 ("CDC") internal grievance procedures, complaining that a prison guard had used excessive
26 force against him. *Id.* at 930. The appeal was denied at the first level of review. *Id.* At the
27 second level of review, a decision was issued characterizing the prisoner's appeal as a "staff
28 complaint," and informing the prisoner that an investigation would be conducted, that the

1 administration would decide on the appropriate action to be taken if necessary, and that the
2 prisoner would not be apprised of any disciplinary action taken as a result of his appeal. Id.
3 at 937. The second-level decision told the prisoner that his appeal had been partially granted
4 because the matter would be investigated. Id. The decision did not tell the prisoner that any
5 further review was available. Id.

6 In deciding whether, under such circumstances, the prisoner was required to proceed
7 beyond the second level of review in order to exhaust his administrative remedies, the Ninth
8 Circuit found that “the reasonable import of [the second-level decision] is that no further
9 relief will be available through the appeals process, but the confidential staff complaint
10 investigation would go forward and could result in some administrative action based on [the
11 prisoner’s] complaint.” Id. at 937-38. This interpretation of the second-level decision was
12 confirmed by the CDC’s policies and manuals, which required that staff misconduct
13 grievances be investigated only through the specialized staff complaint process, thereby
14 negating any possibility of a parallel investigation through the usual appeals process. Id. at
15 938. Accordingly, the Ninth Circuit concluded, no further relief is available to a prisoner
16 through the CDC’s appeals process once his appeal is partially granted at the second level of
17 review and a confidential investigation into a staff complaint is launched. Id. at 938-39.
18 Under such circumstances, the prisoner has exhausted his available administrative remedies
19 when the second-level decision is issued, and has no obligation to pursue a Director’s-level
20 appeal before proceeding to federal court. Id. at 939.

21 Applying Brown to the facts of the instant case, the Court finds plaintiff has exhausted
22 his available administrative remedies. As in Brown, plaintiff’s appeal complaining of the use
23 of excessive force by prison guards was designated a staff complaint at the second level of
24 review; the second-level decision partially granted the appeal by ordering an investigation;
25 the second-level decision informed plaintiff that any disciplinary action taken against the
26 prison guards would be confidential; and the second-level decision did not counsel plaintiff
27 that any further review was available. Further, plaintiff was advised by the SVSP Appeals
28 Coordinator that he could not appeal the second-level decision to the Director’s level of

1 review. Accordingly, the Court will deny defendants' motion to dismiss the cell claim for
2 failure to exhaust administrative remedies.

3 B. Dismissal Under Rule 12(b)(6)

4 Defendants argue that even if plaintiff's cell claim is exhausted, the claim cannot
5 proceed because it fails to state a claim upon which relief can be granted. Plaintiff has not
6 addressed this argument in his opposition to the motion to dismiss.

7 1. Standard of Review

8 Rule 12(b)(6) provides for the dismissal of a claim "if as a matter of law it is clear that
9 no relief could be granted under any set of facts that could be proved consistent with the
10 allegations." See Neitzke v. Williams, 490 U.S. 319, 327 (1989). In considering a motion to
11 dismiss, the Court must construe the complaint in the light most favorable to the plaintiff and
12 accept all factual allegations as true. See Cahill v. Liberty Mut. Ins. Co., 80 F.3d 336, 337-38
13 (9th Cir. 1996). Federal courts are particularly liberal in construing allegations made in pro
14 se civil rights complaints. See Thompson v. Davis, 295 F.3d 890, 895 (9th Cir. 2002). In
15 ruling on a Rule 12(b)(6) motion, the court may not consider any material outside the
16 complaint but may consider exhibits attached thereto. See Arpin v. Santa Clara Valley
17 Transportation Agency, 261 F.3d 912, 925 (9th Cir. 2001); Fed. R. Civ. P. 10(c) (treating
18 exhibits attached to complaint as part of complaint for purposes of ruling on 12(b)(6)
19 motion).

20 A motion to dismiss may not be granted solely because a plaintiff has not filed an
21 opposition. Even if the plaintiff does not file a response to a motion to dismiss under Rule
22 12(b)(6), the district court must examine the allegations in the plaintiff's complaint and
23 determine whether the plaintiff states a claim upon which relief can be granted. See Issa v.
24 Comp USA, 354 F.3d 1174, 1178 (10th Cir. 2003); see also Vega-Encarnacion v. Babilonia,
25 344 F.3d 37, 40-41 (1st Cir. 2003) (same); McCall v. Pataki, 232 F.3d 321, 322-23 (2nd Cir.
26 2000) (same). A pro se plaintiff who has not responded to a motion to dismiss can rest on the
27 assumed truthfulness and liberal construction afforded his complaint. See Curtis v.
28 Bembenek, 48 F.3d 281, 287 (7th Cir. 1995).

1 2. Analysis

2 Plaintiff alleges in his complaint that Abuyen, Banuelos, Machuca and Pacheco used
3 excessive force against him when Banuelos intentionally left plaintiff's cell door open and
4 Abuyen, Machuca and Pacheco beat plaintiff in his cell without cause. Defendants argue that
5 plaintiff cannot proceed with his claims against these defendants because, as a result of the
6 same incident, plaintiff was found guilty at a disciplinary hearing of battery on a peace
7 officer (Abuyen) and was assessed 150 days forfeiture of good-time credits. Consequently,
8 defendants argue, plaintiff's excessive force claim is barred under Heck v. Humphrey, 512
9 U.S. 477 (1994).

10 Heck holds that in order to state a claim for damages for an allegedly unconstitutional
11 conviction or term of imprisonment, or for other harm caused by actions whose unlawfulness
12 would render a conviction or sentence invalid, a plaintiff asserting a violation of 42 U.S.C.
13 § 1983 must prove that the conviction or sentence has been reversed or declared invalid. Id.
14 at 486-487. Heck's rationale bars a claim for damages for harm caused by the
15 unconstitutional deprivation of good-time credits because such a claim necessarily calls into
16 question the lawfulness of the plaintiff's continued confinement, insofar as it implicates the
17 duration of the plaintiff's sentence. See Sheldon v. Hundley, 83 F.3d 231, 233 (8th Cir.
18 1996).

19 In the instant action plaintiff neither challenges the constitutional validity of the
20 disciplinary proceeding finding him guilty of battery on a peace officer, nor seeks the
21 restoration of the good-time credits that were assessed as a result thereof. Defendants argue,
22 however, that plaintiff's excessive force claim is barred under Heck because, if the excessive
23 force claim were proven, it would necessarily imply the invalidity of the finding of guilt on
24 the charge of battery on a peace officer.⁴ By analogy, defendants cite to cases finding Heck

25
26 ⁴In support of their argument, defendants have submitted a copy of the results of the
27 disciplinary proceeding. Defendants ask the Court to take judicial notice of the results as
28 evidence of the fact that the length of plaintiff's sentence was altered thereby. As a general
rule, a district court may not consider material outside the pleadings in ruling on a Rule
12(b)(6) motion. Lee v. City of Los Angeles, 250 F.3d 668, 688 (9th Cir. 2001). A court

1 bars a § 1983 action for the use of excessive force during an arrest, where the plaintiff has
2 been convicted of resisting arrest or assaulting a police officer. (Mot. Dismiss at 7.)

3 The Ninth Circuit has made clear that Heck does not bar all such excessive force
4 actions, however. In Smith v. City of Hemet, 394 F.3d 689, 699 (9th Cir. 2005) (en banc),
5 the Ninth Circuit explained that “a § 1983 action is not barred under Heck unless it is clear
6 from the record that its successful prosecution would *necessarily* imply or demonstrate that
7 the plaintiff’s earlier conviction was invalid.” The plaintiff in Smith had been convicted of
8 resisting a police officer under California Penal Code section 148, and claimed that the
9 officer had used excessive force against him. Id. at 694. In order to determine whether the
10 excessive force claim was barred under Heck, the Ninth Circuit looked to the elements of a
11 conviction for resisting a police officer under section 148, and found that an essential
12 element of such a conviction is that the police officer was “engaged in the performance of his
13 official duties.” Id. at 695. Under California law, if such element is admitted or proved, the
14 police officer necessarily was engaged in “lawful” conduct, which, in turn, necessarily
15 excludes the use of excessive force. Id. at 695-96.

16 The Ninth Circuit thus concluded that where a plaintiff has been convicted under
17 section 148 for resisting a police officer during the course of an arrest, his subsequent § 1983
18 claim that the police officer used excessive force at the time the arrest was effected would, if
19 successful, necessarily undermine the conviction, and would be barred under Heck. Id. at
20 697-98. By contrast, if the plaintiff claims the police officer used excessive force either
21 before or after the conduct on which the plaintiff’s conviction was based, Heck would not bar
22 his claims that the force was excessive, because the alleged acts of excessive force would not

23 _____
24 may, however, consider material that is properly submitted as part of the complaint, without
25 converting the motion to dismiss into a motion for summary judgment. Id. If the documents
26 are not physically attached to the complaint, they may be considered if the documents’
27 authenticity is not contested and the plaintiff’s complaint “necessarily relies” on them. Id. In
28 the instant matter, in support of plaintiff’s claim that Abuyen, Banuelos, Machuca and
Pacheco used excessive force against him, plaintiff’s complaint references and necessarily
relies upon the results of the disciplinary proceeding. (Compl. at 9-10.) Accordingly, the
Court will grant defendants’ request for judicial notice of the fact that plaintiff was found
guilty of battery on Abuyen, and was assessed a forfeiture of 150 days of good-time credits
as a result thereof.

1 necessarily invalidate the conviction. Id. at 698. Looking to the record before it in Smith,
2 the Ninth Circuit could not identify the factual basis for the plaintiff's guilty plea to resisting
3 a police officer; consequently, it could not determine whether the acts underlying the
4 conviction occurred during the course of the plaintiff's arrest; accordingly, the Ninth Circuit
5 reversed the district's court's grant of summary judgment, which ruling had been predicated
6 on Heck. Id. at 699.

7 In the instant action, defendants have not shown that if plaintiff were to prevail on his
8 excessive force claims the validity of the finding that he committed battery on a peace officer
9 necessarily would be implicated. The essential reason defendants' argument fails is because
10 they have not set forth the elements of the charge of which plaintiff was found guilty, battery
11 on a peace officer under California Code of Regulations tit. 15, § 3005(c). Consequently,
12 because defendants have not shown that a finding of their use of excessive force would
13 necessarily negate an element of the battery offense, the Court cannot conclude that
14 plaintiff's claims are barred under Heck. As Smith made clear, a § 1983 claim alleging the
15 use of excessive force will be barred under Heck only where "it is clear from the record that
16 its successful prosecution would *necessarily*" imply the invalidity of the plaintiff's
17 underlying conviction. Smith, 394 F.3d at 699. Accordingly, the Court will deny
18 defendants' motion to dismiss plaintiff's excessive force claim as barred under Heck.

19 C. Plaintiff's Motion to Compel

20 On December 5, 2005, plaintiff filed a motion to compel discovery. Defendants have
21 filed an opposition to the motion to compel, and plaintiff has filed a reply.

22 In his declaration in support of the motion to compel, plaintiff argues that he requires
23 the following evidence in order to properly pursue his claims in this case: (1) all video-taped
24 evidence related to the case, including video-taped interviews of inmate witnesses conducted
25 by internal affairs, and (2) transcripts relating to the recorded use of the "retention ring"
26 device used on inmates in administrative segregation. Plaintiff also seeks documents
27 concerning: (1) defendants' disciplinary history, (2) use-of-force and restraining training
28 defendants received, and (3) a picture of the "retention ring" device. (Candler Decl. Supp.

1 Mot. Compel at 4).

2 Defendants have agreed to allow plaintiff to view his own video-taped interview, but
3 object to producing the evidence generated by the internal affairs investigation, including the
4 video-taped interviews of other inmates. Defendants also object to producing any transcripts,
5 should any exist, of the recorded use of the “retention ring” device on other inmates. (Opp.
6 Mot. Compel at 2.)

7 When plaintiff filed his motion to compel, and when defendants responded thereto,
8 two claims were pending in the present action – the cell claim and the handcuffs claim.
9 Plaintiff’s discovery requests appear to seek evidence pertinent to both claims, although it is
10 unclear to the Court which requests correspond to which claim or claims. Because the Court
11 determined earlier in this order that plaintiff’s handcuffs claim must be dismissed, some of
12 plaintiff’s discovery requests may no longer be at issue. Accordingly, in the interest of the
13 efficient use of the Court’s judicial resources, the Court will deny plaintiff’s motion as
14 premature. Such denial is without prejudice, and plaintiff may file a renewed motion to
15 compel, containing only those discovery requests pertaining to plaintiff’s one remaining
16 claim, the cell claim. The motion shall be briefed according to the schedule set forth below.

17 CONCLUSION

18 For the foregoing reasons, the Court orders as follows:

19 1. Defendants’ motion to dismiss plaintiff’s handcuffs claim for failure to exhaust
20 administrative remedies is GRANTED, and defendants Gonzalez, Rocha and Vasquez are
21 hereby DISMISSED from this action. The dismissal of this claim is without prejudice to
22 plaintiff’s refileing the claim after all available administrative remedies have been exhausted.

23 2. Defendants’ motion to dismiss plaintiff’s cell claim against defendants Abuyen,
24 Banuelos, Machuca and Pacheco for failure to exhaust administrative remedies and,
25 alternatively, for failure to state a claim upon which relief can be granted, is DENIED.

26 3. Plaintiff’s motion to compel discovery is DENIED without prejudice. No later
27 than **thirty (30)** days from the date of this order plaintiff may file with the Court and serve on
28 defendants a renewed motion to compel discovery. Defendants’ opposition to the motion

1 shall be filed no later than **twenty (20)** days from the date they are served with plaintiff's
 2 motion. Plaintiff's reply shall be filed no later than **fourteen (14)** days from the date he is
 3 served with defendants' opposition.

4 4. No later than **sixty (60) days** from the date of this order, defendants shall file a
 5 motion for summary judgment with respect to plaintiff's remaining claim, or shall inform the
 6 Court that they are of the opinion such a motion is not warranted.

7 The motion for summary judgment shall be supported by adequate factual
 8 documentation and shall conform in all respects to Rule 56 of the Federal Rules of Civil
 9 Procedure. Summary judgment cannot be granted, nor qualified immunity found, if material
 10 facts are in dispute. If any defendant is of the opinion that this case cannot be resolved by
 11 summary judgment, he shall so inform the Court prior to the date the summary judgment
 12 motion is due.

13 5. Plaintiff's opposition to the motion for summary judgment shall be filed with the
 14 Court and served on defendants no later than **thirty (30) days** from the date defendants'
 15 motion is filed.

16 The Ninth Circuit has held that the following notice should be given to plaintiffs
 17 facing summary judgment:

18 The defendants have made a motion for summary judgment by which
 19 they seek to have your case dismissed. A motion for summary judgment under
 Rule 56 of the Federal Rules of Civil Procedure will, if granted, end your case.

20 Rule 56 tells you what you must do in order to oppose a motion for
 summary judgment. Generally, summary judgment must be granted when there
 21 is no genuine issue of material fact--that is, if there is no real dispute about any
 fact that would affect the result of your case, the party who asked for summary
 judgment is entitled to judgment as a matter of law, which will end your case.

22 When a party you are suing makes a motion for summary judgment that is
 properly supported by declarations (or other sworn testimony), you cannot
 23 simply rely on what your complaint says. Instead, you must set out specific
 facts in declarations, depositions, answers to interrogatories, or authenticated
 24 documents, as provided in Rule 56(e), that contradict the facts shown in the
 defendants' declarations and documents and show that there is a genuine issue
 25 of material fact for trial. If you do not submit your own evidence in opposition,
 summary judgment, if appropriate, may be entered against you. If summary
 26 judgment is granted in favor of defendants, your case will be dismissed and
 there will be no trial.

27 Rand v. Rowland, 154 F.3d 952, 963 (9th Cir. 1998) (en banc). Plaintiff is advised to read
 28

1 Rule 56 of the Federal Rules of Civil Procedure and Celotex Corp. v. Catrett, 477 U.S. 317
2 (1986) (holding party opposing summary judgment must come forward with evidence
3 showing triable issues of material fact on every essential element of his claim). Plaintiff is
4 cautioned that failure to file an opposition to defendants' motion for summary judgment may
5 be deemed to be a consent by plaintiff to the granting of the motion, and granting of
6 judgment against plaintiff without a trial. See Ghazali v. Moran, 46 F.3d 52, 53-54 (9th Cir.
7 1995) (per curiam); Brydges v. Lewis, 18 F.3d 651, 653 (9th Cir. 1994).

8 6. Defendants shall file a reply brief no later than **fifteen (15) days** after plaintiff's
9 opposition is filed.

10 7. The motion will be deemed submitted as of the date the reply brief is due. No
11 hearing will be held on the motion unless the Court so orders at a later date.

12 8. All communications by plaintiff with the Court must be served on defendants'
13 counsel by mailing a true copy of the document to defendants' counsel.


14 9. It is plaintiff's responsibility to prosecute this case. Plaintiff must keep the Court
15 informed of any change of address and must comply with the Court's orders in a timely
16 fashion. Failure to do so may result in the dismissal of this action for failure to prosecute
17 pursuant to Federal Rule of Civil Procedure 41(b).

18 10. Any motion for an extension of time must be filed no later than the deadline
19 sought to be extended and must be accompanied by a showing of good cause.

20 This order terminates Docket Nos. 26 and 44 on this Court's docket.

21 IT IS SO ORDERED.

22 DATED: November 1, 2007

23 
24 MAXINE M. CHESNEY
25 United States District Judge
26
27
28